

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 848.00

C mplete if Kn wn

Application Number 09/375,605

Filing Dat 08/17/1999

First Named Inventor Short et al.

Examiner Name N. Nashed

Group Art Unit 1652

Attorney Docket No. DIV-1140-2 (112766.158)

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

08-0219

Deposit
Account
Name

Hale and Dorr LLP

- ☐
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

- ☐
- Check
- ☐
- Credit card
- ☐
- Money
-
- Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description
101	740	201 370	Utility filing fee
106	330	206 165	Design filing fee
107	510	207 255	Plant filing fee
108	740	208 370	Reissue filing fee
114	160	214 80	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	2	9.00	18.00
Independent Claims	3**		
Multiple Dependent			

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Fee Description

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description
103	18	203 9	Claims in excess of 20
102	84	202 42	Independent claims in excess of 3
104	280	204 140	Multiple dependent claim, if not paid
109	84	209 42	** Reissue independent claims over original patent
110	18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 18.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity (\$)	Small Entity (\$)	Fee Description
105	130	205 65	Surcharge - late filing fee or oath
127	50	227 25	Surcharge - late provisional filing fee or cover sheet
139	130	139 130	Non-English specification
147	2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination
112	920*	112 920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action
115	110	215 55	Extension for reply within first month
116	400	216 200	Extension for reply within second month
117	920	217 460	Extension for reply within third month
118	1,440	218 720	Extension for reply within fourth month
128	1,960	228 980	Extension for reply within fifth month
119	320	219 160	Notice of Appeal
120	320	220 160	Filing a brief in support of an appeal
121	280	221 140	Request for oral hearing
138	1,510	138 1,510	Petition to institute a public use proceeding
140	110	240 55	Petition to revive - unavoidable
141	1,280	241 640	Petition to revive - unintentional
142	1,280	242 640	Utility issue fee (or reissue)
143	460	243 230	Design issue fee
144	620	244 310	Plant issue fee
122	130	122 130	Petitions to the Commissioner
123	50	123 50	Processing fee under 37 CFR 1.17(q)
126	180	126 180	Submission of Information Disclosure Stmt
581	40	581 40	Recording each patent assignment per property (times number of properties)
146	740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279 370	Request for Continued Examination (RCE)
169	900	169 900	Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 830.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

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(Attorney/Agent)

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Signature

Jane M. Love

Date

09/03/2002

WARNING: Information n this form may b c m public. Cr dit card information sh uld not
b includ d on this form. Pr vid cr dit card inf rmati n and auth rizati n on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC

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ORIGINALLY FILED

PATENT
Docket No. DIV-1140-2 (112766-158)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jay M. Short Art Unit: 1652
Serial No.: 09/375,605 Examiner: N. Nashed
Filing Date: August 17, 1999
Title: Production of Enzymes Having
Desired Activities By Mutagenesis

RECEIVED

SEP 12 2002

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Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box: AF, Washington, D.C. 20231.

Sept. 3, 2002
Date

Nancy E. Gilmore
Nancy E. Gilmore

TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231
BOX: AF

Sir:

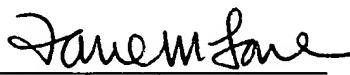
Enclosed are the following for appropriate action:

1. Request for Continued Examination, Supplemental Amendment and Petition for a Three-Month Extension of Time;
2. Fee Transmittal Sheet;
3. Appendix 1 – Annotated Version of Amendments to Specification;
4. Appendix 2 – Clean Version of Paragraphs of Specification as Amended;
5. Appendix 3 - Annotated Version of Claim Amendments;

6. Appendix 4 – Clean Version of All Pending Claims with Amendments; and
7. Return Postcard.

Respectfully submitted,

Date: Sept. 3, 2002


Jane M. Love, Ph.D.
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Attorney for Applicants

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